

04772 U.S. PTO  
020404

PATENT

ATTORNEY DOCKET N°: 03-2373  
EXPRESS MAIL LABEL N°: EV 380 207 605 US

**NON-PROVISIONAL PATENT APPLICATION TRANSMITTAL LETTER**

MAIL STOP PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

22387 U.S. PTO  
10/771588  
020404

Transmitted herewith for filing is the patent application of:

**INVENTOR:**

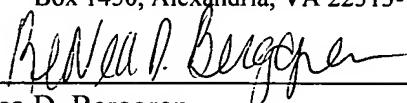
Karl Lu  
World Trade Tower, Room 2602  
500 Guang Dong Road  
Shanghai 200001, P.R. China

**Title: A Safe Method for Upgrading Firmware of Optical Disk Product**

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**CERTIFICATION UNDER 37 C.F.R. §1.10**

I hereby certify that this aNon-provisional Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date, February 4, 2004 in an envelope as "Express Mail Post Office to Addressee", Mailing Label N° EV 380 207 605 US, with sufficient postage, addressed to: MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
ReNea D. Berggren

DATED: February 4, 2004

**1. Type of Application**

This is a non-provisional Application.

**2. Benefit of Prior U.S. Application(s) (35 U.S.C. § 119(e))**

| <u>USPSN</u> | <u>Filing Date</u> | <u>Inventor(s)</u> | <u>Status</u> |
|--------------|--------------------|--------------------|---------------|
| 60/531,277   | 12/18/2003         | Karl Lu            | Pending       |

**3. Papers Enclosed That Are Required for Filing Date under 37 C.F.R. §1.53(b) (Regular) or 37 C.F.R. §1.153 (Design) Application**

Twelve (12) Pages of Specification;

Four (4) Pages of Claims;

One (1) Page of Abstract; and

Five (5) Sheets of Drawing Figures.

**4. Additional Papers Enclosed**

None.

**5. Declaration or Oath**

The signed Declaration and Power of Attorney is attached

**6. Inventorship Statement**

The inventorship for all the claims in this application are the same.

**7. Language**

English.

**8. Assignment**

The signed Assignment is attached. The Recordation Form Cover Sheet is enclosed.

**9. Certified Copy**

None are required.

**10. Fee Calculation (37 C.F.R. §1.16)**

|           |  |  |  |  |  |  |          |
|-----------|--|--|--|--|--|--|----------|
| BASIC FEE |  |  |  |  |  |  | \$770.00 |
|-----------|--|--|--|--|--|--|----------|

|                  |  |  |  |  |  |  |  |
|------------------|--|--|--|--|--|--|--|
| EXCESS CLAIM FEE |  |  |  |  |  |  |  |
|------------------|--|--|--|--|--|--|--|

|                   |    |     |   |   |           |        |
|-------------------|----|-----|---|---|-----------|--------|
| TOTAL OVER TWENTY | 14 | -20 | = | 0 | X \$18.00 | \$0.00 |
|-------------------|----|-----|---|---|-----------|--------|

|                        |   |    |   |   |         |        |
|------------------------|---|----|---|---|---------|--------|
| INDEPENDENT OVER THREE | 3 | -3 | = | 0 | \$86.00 | \$0.00 |
|------------------------|---|----|---|---|---------|--------|

|                    |  |  |  |   |          |        |
|--------------------|--|--|--|---|----------|--------|
| MULTIPLE DEPENDENT |  |  |  | 0 | \$290.00 | \$0.00 |
|--------------------|--|--|--|---|----------|--------|

|                            |  |  |  |  |          |         |
|----------------------------|--|--|--|--|----------|---------|
| ASSIGNMENT RECORDATION FEE |  |  |  |  | \$ 40.00 | \$40.00 |
|----------------------------|--|--|--|--|----------|---------|

|                   |  |  |  |  |  |                        |
|-------------------|--|--|--|--|--|------------------------|
| TOTAL FILING FEES |  |  |  |  |  | <u><u>\$810.00</u></u> |
|-------------------|--|--|--|--|--|------------------------|

**11. Small Entity Statement(s)**

None required.

**12. Request for International - Type Search (37 C.F.R. §1.104(d))**

None required.

**13. Authorization to Charge Fees**

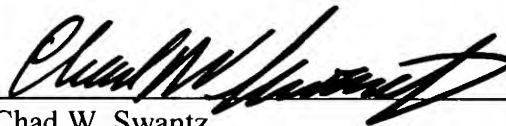
The Commissioner is hereby authorized to charge the filing fees of **\$810.00** to Deposit Account N° 12-2252. Please charge any underpayments related to this filing or credit any excess to Deposit Account N° 12-2252. A copy of this *Transmittal* is enclosed for accounting purposes only.

Please direct all correspondence and telephone calls to:

**CUSTOMER NO. 24319**  
LEO PETERS  
LEGAL DEPARTMENT - IP  
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DATED: February 4, 2004.

Respectfully submitted,  
Karl Lu,  
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By   
Chad W. Swantz  
Reg. N° 46,329

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**This Transmittal Ends With This Page.**